Prior to 2001

MAHATMA GANDHI UNIVERSITY

RULES & REGULATIONS RELATING TO REGISTRATION FOR AND THE AWARD OF THE DEGREE OF DOCTOR OF PHILOSOPHY.

1. Title

These Regulations may be called 'The Regulations relating to registration for and the award of the Degree of Doctor of Philosophy'.

2. Definitions

In those Regulations, unlese these context otherwise requires—

(i) Act, Statutes, Ordinance and Regulations mean respectively the Act, Statutes, Ordinance and Regulations of the University.

(ii) Affiliated College means a College affiliated to the University in accordance with the provisions of the University Act and the Statutes and which instruction is provided in accordance with the provisions of the statutes, ordinances, Regulations.

(iii) 'Centre for Research' means the institution where the University allows a research scholar to carry out research on the sub act approved by the University leading to the Ph. D. Degree.

(iv) "Department" means the University Department of Study and Research established by the Statutes.

(v) Departmental Doctoral Committee" means a Departmental Doctoral Committee constituted in a Department to scrutinise the applications for registration and make appropriate recommendation to the University and

includes all the supervising teachers in a Department with the Head of the Department as Chairman.

(vi) "Doctoral Committee" means the Committee constituted by the University in a Centre for Research other than University Departments to scrutinise the application for registration and make appropriate recommendations to the University.

(vii) "Fellowship" means a regular monetary assistance awarded to a research scholar and includes the National Merit Saholarship, University Scholarship and those offerred by approved funding agencies such as NCERT, ICSSR, CSIR and U. G. C.

(viii) "Laws of the University" means the Act, Statutes, Ordinance Regulations, Rules and Bye-laws of the University as the case may be.

(ix) "Recognised Institution" means an institution as defined in sub-section (19) of Section 2 of the Act.

(x) "Registration" means registration as a Research Scholar for Ph. D. Degree under these Regulations.

(xi) "Research Scholar" means any full-time or part-time student duly registered for the Ph. D. Degree of the University under these regulations.

(xii) "Supervising Teacher" means a teacher or any other person approved by the Syndicate of the University as a qualified guide to supervise Research.

(xiii) "University" means the University as defined in the Act.

(xiv) "Adjudicator/Examiners" means the experts appointed by the Syndicate for the evaluation of the thesis.

3. Eligibility:

No Candidate shall normally be registered for research work leading to the award of Ph. D, Degree unless he/she has secured a minimum of 50% marks in the Faculties of Arts, Social Sciences, Oriental Studies etc. and 55% marks in the faculty of Science in the qualifying Post graduate examination, provided they have got aptitude for research a evidenced by research publications or have a minimum of two years teaching experience at a College or University or have secured a pass in the national merit test conducted by the U. G. C. or have passed the M. Phil examination. In no case shall candidate securing less than 50% marks at the qualifying examination be granted registration.

4. Registration:

A Candidate shall be required to register himself as a research student and candidate for the Ph. D. Degree before the commencement of the course of Research.

5. Application:

Application for registration shall be made to the Registrar in the prescribed form and in the manner prescribed together with the prescribed fee on or before the date notified by the University. The applications shall be considered or disposed off by the Syndicate.

6. Place of Research:

Research shall be carried out by a Research scholar in a University Department or in a Department of a College

recognised as a centre for Research or in a recognised Institution under a recognised Research Guide or Guides.

7. Supervision of Research:

Research students shall work under a recognised supervising teacher, who shall ordinarily be on the staff of the Institution in which the student is permitted to work.

8. Recognition as Supervising Teachers:

A person may be recognised as Supervising Teacher by the Syndicate No person shall be recognised as a Supervising Teacher unless he has a Ph. D. Degree, ordinarily in the concerned subject and a minimum of two years' post-Doctoral research experience or 10 years teaching experience and a Doctorate Degree in the concerned subject. However it shall be competent for the syndicate to waive these requirements in exceptional cases, for reasons to be recorded.

9. Selection (a) Registration in the University Department

Applications for admission as research Scholars in a University Department of study and Research received before the prescribed date shall be scrutinised by the concerned Departmental Doctoral Committee. The committee shall consist of the Head of the Department as Chairman and all the supervising teachers of the Department. The Committee shall interview all the eligible candidates and shall prepare, based on aptitude for research and merit, a list of selected candidates for the required number of vacancies.

The Head of the Department will forward the applications of the selected candidates together with the recommendation of the Departmental Doctoral Committee and other relevant documents to the University within one month of the selection. Selected candidate shall join the

Department within one month of the receipt of the intimation regarding the registration of candidates from the University Every Scholar so admitted shall work under the guide (guides in the case of joint supervision) allotted to him by the Departmental Doctoral Committee.

(b) Registration in a Department of an affiliated College:

In the case of a candidate intending to join a recognised department of an affiliated college for research the application for admission shall be made to the Registrar which shall be accompanied by the consent letter from the supervising Teacher and letter from the Head of the Research centre to the effect that necessary facilities will be provided to the candidate for research. The application shall be scrutinised bythe Doctoral Committee constituted by the Syndicate. (The Doctoral Committee shall consist of:

1. The Supervising Teacher (Convener) and

2. Two experts nominated by the Syndicate of which one shall be nominated as Chairman. For the subjects in which there is a University Department, one of the experts nominated shall be Head of the University Department concerned and he will be the Chairman of the Doctoral Committee. The Doctoral Committee will meet at a suitable place. The procedure for granting registration shall be as in the case of the University Departments.

(c) Registration in a Recognised Institution:

In the case of a candidate intending to join a Recognised Institution for research, the application for admission shall be made to the Registrar which shall be accompanied by the consent letter from the Supervising Teacher and letter from the Head of the Institution to the effect that

necessary facilities will be provided. The applications shall be scrutinised by the Doctoral Committee constitued by the Syndicate. The Doctoral Committee shall consist of the Supervising Teacher (Convener) and two experts nominated by the Syndicate of which one shall be the Head of the University Department (for the subject in which there is a University Department) who will be the Chairman. The Committee shall meet at a suitable place. The University may grant registration to the selected candidates on the recommendation of the Doctoral Committee as in the case of the University Departments.

10. Part-time Research:

a) The teachers in the affiliated colleges or in the University Departments may generally be registered as Part time Research Scholars provided they have easy access to the supervising teacher and the materials for research. The eligibility of such persons for registration may be examined by the Doctoral Committee.

b) In the case of candidates working as research scholars on a part-time basis, other than those in the Head quarters of the supervising teacher, they should spend a period of at least six months at a stretch or in not more than three instalments at the residential Head quarters of the supervising teacher before the candidates are allowed to submit the thesis. A certificate showing that the candidate has worked in his institution should be furnished by the Head of the Research Centre the time of submission of the thesis.

c) In exceptional cases, based on the recommendations of the Doctoral Committee, the Syndicate may allow persons who are not teachers of affiliated colleges or University Departments who are regular employees to register as part-time research scholars taking into consideration the academic back ground and research aptitude of

candidates as revealed from his publications, the institution where he proposes to do research, the inter-relationship between the nature of his employment and the subject chosen for research and the subject of specilisation of the supervising teacher.

d) A full-time research scholar, on request, may be allowed to convert his registration as a Part-time research scholar and vice-versa on the recommendations of the supervising Teacher and the Head of the Department, after ascertaining the accessibility of laboratory facilities to the scholar, in the case of science and Technology subjects in the institution where he was working for the Ph. D Degree. In case of Science and Technology subjects request for conversion of the registration to Part-time can be considered only after a minimum period of one year's full time research.

e) Persons applying for admission to part-time research shall also produce along, with the application a 'No Objection Certificate' from the employer concerned.

f) High School teachers shall not be permitted to register for part-time research.

11. Inter-disciplinary Research

Candidate intending to select for research, topics of an interdisciplinary nature shall have more than one supervising teacher. In such cases, the application for admission shall be accompanied by the consent letters from supervising teachers and letters from Heads of Departments to the effect that facilities will be provided. The Main centre for research of the candidates shall be the Department, preferably, in the subject in which the candidate has taken the Post-Graduate Degree.

12. Supervision of Research Work:

(a) Research shall be undertaken by a scholar under the guidance of the recognised supervising teacher (s) who

is/are ordinarily in the staff of the institution in which the student is permitted to work.

(b) A research scholar working under a particular supervising teacher who has retired from service of the Institution may continue to work in the same institution under the guidance of the same supervising teacher subject to the discipline of the institution.

(c) The number of research scholars under a University teacher and under/an approved supervising teacher of an affiliated college should not exceed 6 and 4 respectively whether full-time or part-time.

(d) Ordinarily, no immediate relative of the research Scholar shall be the supervising teacher of the candidate.

Explanation:

'Immediate relatives' mean husband/wife, parents, sons, daughters, brothers, sisters, first cousins, nephesw, nieces, uncles, aunts and all the in-law relations.

13. Privileges:

Candidates who have been registered as research students shall be enrolled as Research Students in the Institutions maintained by the University or recognised by the University for the purpose. Such students shall be entitled to all the privileges of the University students except those for which special fees are charged.

14. Joining Report:

A candidate shall have to submit a joining report through the supervising teacher as soon as he joins for research after obtaining registration from the University.

15. Progress report: changed

Supervising Teachers who undertake to guide and supervise the work of research students shall send to the Registrar, quarterly reports of progress of the students working under them through the Head of the Department or the Institution concerned.

16. Periodical review of the work:

The Departmental Doctoral Committee shall periodically review the work of research scholars working in the department.

17. Cancellation of registration:

The Syndicate may cancel the registration of any research student, for unsatisfactory progress or conduct.

18. Period of Research:

The minimum period of research required by candidate for submission of the Thesis from the date of registration shall be two years for M. Phil/M. Ed/M.Sc (Research) Degree holders and three years for others.

The maximum period of research shall be 5 years for full-time and 8 years for part-time after which period the registration shall lapse automatically and no re-registration shall be allowed without specific recommendations of the D. C. changed

19. Discontinuous beyond six months:

Research students who discontinue their work for a period of more than six months shall be required to register themselves afresh, in case they desire to continue their research work. The extent to which the period of work done before discontinuing may be treated as

qualifying for purpose of awarding a research degree may be decided by the Syndicate, on the recommendations of the Supervising Teacher.

20. Extension of the period of research:

The Syndicate may, on the recommendations of the Departmental Doctoral Committee or Supervisors extend the period of research which in no case shall exceed five years for full-time candidates and 8 years for part-time candidates after which period the registration shall automatically lapse and no re-registration shall be allowed without the recommendations of the Departmental Doctoral Committee. In the case of candidates who have registered and have already exceeded the time limit, a notice of one year may be given for cancellation and the registration shall then be cancelled.

21. Restriction on employment:

A full-time research scholar is not allowed to engage himself in any other employment except imparting instruction in an honorary capacity on a topic which forms the subject matter of his research in the institution he is working.

22. Change of the topic of research:

In exceptional cases, the Syndicate may permit a Research Scholar, based on the recommendations of the Doctoral Committee/Supervising Teacher to change the topic of research, within one year from the date of registration.

23. Change of the Supervising Teacher:

Normally, a change of the Supervising Teacher is not allowed. However, in the event of death of supervising teacher or when a supervising teacher leaves the department either permanently or on long leave to distant places from where he/she cannot supervise the work of the scholar registered under him/her or for other genuine

reasons the research scholar may be allowed to change the supervising teacher based on the recommendations of the supervising teacher/Departmental Doctoral Committee by the Syndicate.

24. Qualifying Examination

Candidates for the Ph. D. Degree in the faculty of Science shall be required to take any time after one year from the date of within six months before the submission of thesis, the qualifying examination for the regn: and submission of the thesis and only those who are successful in the qualifying examination shall be permitted to submit the thesis. Candidates who have passed the M. Phil Examination shall be exempted from the above requirements.

(a) The syllabi for the written and practical parts of the qualifying examination shall cover all aspects of the special subject chosen for research and shall be prepared by the Supervising Teacher and another member nominated by the Syndicate. The syllabus shall be approved by the Chairman Post-graduate Board of Studies and the Dean.

The schemes of examination shall be as follows:

(i)	Written Examination	I	3 hours	100 marks
(ii)	Do	II	3 hours	100 marks
		OR		
	Practical Examination			100 marks
(iii)	Viva-voce Test			100 marks
			Total	300 marks

(b) The Viva-voce test shall be held after the examiners have valued the written papers.

(c) The pass minimum for the qualifying examination shall be 50% of aggregate marks in the written and practical examinations and 50% in the Viva-voce Test.

(d) No candidate shall be permitted to take the qualifying examination mor tham twice.

25. Submission of the Synopsis:

Three months before the date of submission of the proposed thesis the candidate shall submit through the supervising teacher twelve copies of the Synopsis of the proposed thesis, in about 1500 words together with the title of the thesis. The Synopsis should be accompanied by the application for admission to the Ph. D. Degree and fee prescribed for the purpose by the University and shall be sent to the Controller of Examinations.

26. Panel of Examiners:

A panel of examiners shall be prepared by the supervising teacher based on the general stipulations made in that respect by the Syndicate. This panel shall be forwarded to the University through the Head of the Department/Chairman, Board of Studies (P. G.) when he/she is the supervising teacher and in cases where there is no University Department and shall be sent along with the synopsis. The panel should consist of ten experts of national or international standing.

27. Submission of the Thesis:

After completing three years (two years for M. Phil, Degree holders) from the date of registration, a candidate shall be elegible to submit his/her thesis. The candidate shall submit four copies of the thesis together with the perscribed fee embodying the results of the research carried out by the candidate to the Controller of Examintions. The thesis shall be submitted within three months from the date of submission of the Synopsis. In exceptional cases, this period shall be extended by another three months, by the Vice - Chancellor based on the recommendations of the Supervising teacher duly supported by the Head of the Department.

The thesis shall be accompanied by a declaration by the candidate that the thesis has not previously formed the basis of award of any degree or diploma or other titles except when otherwise provided for in the Regulations of the University. The thesis shall also be accompanied by a certificate from the supervising teacher to the effect that it is a record of bonafide work carried out by the candidate under his/her supervision.

28. Adjudication of the thesis:

(a) The thesis shall be adjudicated by the Board of three examiners appointed by the Syndicate from the Panel of Examiners submitted. One Indian Examiner shall be the Chairman of the Board.

(b) The examiners shall submit a report on the merit of the work embodied in the thesis and state whether the thesis is recommended for the award of the Ph. D. Degree. The Examiners should also indicate in which respect the thesis affords evidence of orginality. The unanimous

opinion of the Board of Examiners may be accepted by the Syndicate. If one of the examiners does not recommend, the thesis shall be referred to a fourth examiner and his report shall be accepted. If two examiners are not in favour of recommending, the candidate should be asked to resubmit the thesis within a period not less than six months.

(c) The candidate whose thesis is not recommended for the award on the Ph. D. Degree shall be informed accordingly and shall be supplied with the comments made by the adjudicators. The crndidate shall be permitted to resubmit a revised version of the thesis within a period of not less than 6 months from the date of which he/she has been informed of the results of evaluation of the thesis.

(d) The candidate whose thesis has been recommended for the award of Ph. D. Degree shall appear for a viva-voce examination if specially required by any one of the examiners. The Viva-voce Examination shall normally be conducted in the institution where the candidate has worked for the Ph. D. Degree and shall be conducted by the Chairman of the Board of Examiners and the supervising Teacher concerned.

(e) The report of the Examiners shall be considered by the Syndicate. If the candidate is adjudged worthy to be awarded the degree, a resolution to that effect shall be passed by the Syndicate and the candidate shall be admitted the Ph. D. Degree under prescribed conditions.

(f) The Candidate and the supervising teacher shall

be supplied with a copy each of the comments made by the examiners on the thesis.

(g) The Board of Examiners once appointed to adjudicate the thesis will normally evaluate the revised thesis unless any of the Examiners is not willing to continue in the Board. Substitue shall be appointed by the Vice-Chancellor from the Panel of Examiners already approved by the Syndicate. However, it shall be competent for the syndicate to appoint fresh set of Examiners to adjudicate the thesis for good and sufficient reasons. The revised thesis shall be accompanied by the prescribed fee.

(h) A candidate shall not be allowed to submit his/her thesis for more than two occassions. Provided that it shall be competent for the Syndicate, if the Board of Examiners so recommened, to permit the candidate to submit his/her thesis for a third time